FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT ATTY. DOCKET NO. 25886-0055B APPLICANT Chen, James FILING DATE GROUP July 13, 2001 3762

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	Ref. Code		C	OCUN	JENT	NUMB	BER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
	A	2	7.	9	7	2	1	3	06/25/57	Moore	260	141	08/20/54
	В	3	0	4	6	1	1	8	07/24/62	Schmidt	96	33	02/14/58
	С	3	0	4	6	1	2	0	07/24/62	Schmidt	96	33	11/30/54
	D	4	3	3	7	7	. 5	. 9	07/06/82	Popovich et al.	126	438	02/27/81
	Е	4	6	7	5	3	3	8	06/23/87	Bommer <i>et al.</i>	514	410	07/18/84
	F	4	6	9	3	5	5	6	09/15/87	McCaughan, Jr.	350	320	06/04/85
	G	4	6	9	3	8	8	5	09/15/87	Bommer et al.	424	2	04/30/85
	н	4	7	5	3	9	5	8	06/28/88	Weinstein et al.	514	410	06/25/86
		4	8	2	3	2	4	4	04/18/89	Alaybayoglu <i>et al.</i>	362	194	01/29/88
	J	4	9	3	2	9	3	4	06/12/90	Dougherty et al.	604	21	08/24/88
	К	4	9	9	8	9	3	0	03/12/91	Lundahl	606	15	08/04/89
•	L	5	0	0	2	9	6	2	03/26/91	Pandey et al.	514	410	07/20/88
	М	5	0	2	6	3	6	7	06/25/91	Leckrone et al.	606	7	03/18/88
	N	5	0	5	5	4	4	6	10/08/91	Alexander et al.	514	2	10/21/88
	0	5	1	9	0	5	3	6	03/02/93	Wood et al.	606	16	02/19/92
·	P	5	2	6	3	9	2	5	11/23/93	Gilmore et al.	604	4	07/22/91
	α	5	3	1	4	9	0	5	05/24/94	Pandey et al.	514	410	11/09/92
	R	5	3	4	4	4	3	4	09/06/94	Talmore	607	88	12/21/92
	s	5	4	0	4	8	6	9	04/11/95	Parkyn, Jr. <i>et al.</i>	126	699	04/16/92
	T	5	4	4	5	6	0	8	08/29/95	Chen et al.	604	20	08/16/93
	U	5	4	5	6	6	6	1	10/10/95	Narciso, Jr.	604	20	03/31/94
· · · · -	l v	5	4	7	4	5	2	8	12/12/95	Meserol	604	20	03/21/94
	W	5	4	7	4	7	6	5	12/12/95	Thorpe	424	178.17	03/23/92
	162	L			1		1	<u> </u>	L	<u> </u>	L		L

EXAMINER DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. SERIAL NO. 25886-0055B 09/905,501					
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Chen, James	-				
STATEMENT	FILING DATE	GROUP				

EXAMINER INITIAL	Ref. Code		C	OCUN	MENT	NUME	ER .		DATE	NAME	CLASS	SUB CLASS	FILING DATE
		5	4	8	2	6	9	. 8	01/09/96	Griffiths	424	1.41	04/22/93
	Y	5	4	9	4	7	9	3	02/27/96	Schindele et al.	435	6	06/14/89
	ź	5.	5	1	9	5	3	4	05/21/96	Smith et al.	359	599	05/25/94
	AΑ	5	5	4	3	5	1	4	08/06/96	Sessier et al.	540	472	04/06/95
	AB	5	5	6	5	5	5	2	10/15/96	Magda <i>et al.</i>	534	11	06/07/95
	AC	5	5	7	6	0	1	3	11/19/96	Williams <i>et al</i> .	424	423	03/21/95
	AD	5	5	7	7	4	9	2	11/26/96	Parkyn, Jr. <i>et al</i> .	126	698	04/07/95
	AE	5	5	7	7	4	9	3	11/26/96	Parkyn, Jr. et al.	126	699	08/22/94
	AF	5	5	9	1	8	5	5	01/07/97	Hudkins <i>et al.</i>	546	256	04/24/95
	AG	5	6	1	- 3	7	6	9	03/25/97	Parkyn, Jr. <i>et al</i> .	362	338	06/05/95
	АН	5	6	1	6	1	4	0	04/01/97	Prescott	606	10	03/21/94
	Al	5	6	3	0	9	9	6	05/20/97	Reno et al.	424	1.49	09/16/93
	AJ	5	6	3	4	7	1	1	06/03/97	Kennedy <i>et al.</i>	362	119	09/13/94
	AK	5	6	4	5	5	6	2	07/08/97	Hann et al.	606	194	03/11/96
	AL	5	6	5	5	8	3	2	08/12/97	Pelka <i>et al.</i>	362	296	05/22/95
	AM	5	6	7	6	4	5	3	10/14/97	Parkyn, Jr. et al.	362	260	05/22/95
	AN	5	6	8	6	1	1	3	11/11/97	Speaker <i>et al</i> .	424	490	03/21/95
	AO	5	6	9	8	8	6	6	12/16/97	Doiron et al.	257	99	05/28/96
	AP	5	7	0	0	2	4	3	12/23/97	Nariso, Jr.	604	102	05/24/96
	AQ	5	7	0	2	4	3	2	12/30/97	Chen et al.	607	88	10/03/96
	AR	5	7	0	3	8	9	6	12/30/97	Pankove <i>et al.</i>	372	50	03/05/96
	AS	5	7	0	5	5	1	8	01/06/98	Richter et al.	514	410	02/16/95
	AT	5	7	0	7	4	0_	1	01/13/98	Talmore	607	88	02/24/95

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. 25886-0055B SERIAL NO. 09/905,501					
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Chen, James					
STATEMENT	FILING DATE July 13, 2001	GROUP 3762				

EXAMINER INITIAL	Ref. DOCUMENT NUMBER Code								DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AU	5	7	0	9	6	5	3 .	01/20/98	Leone	604	20	07/25/96
	AV	5	7	3	5	8	1	7	04/07/98	Shantha	604	100	05/19/95
	AW	5	7	4	6	4	9	4	05/05/98	Koeda <i>et al.</i>	362	32	11/14/95
	AX	5	7	4	6	4	. 9	5	05/05/98	Klamm	362	32	02/05/97
	AY	5	7	5	7	5	5	7	05/26/98	Medvedev et al.	359	708	06/09/97
	AZ	5	7	6	6	2	2	2	06/16/98	Petit	606	234	07/07/97
	ВА	5	7	6	9	8	4	4	06/23/98	Ghaffari	606	16	06/07/95
	ВВ	5	7	7	6	1	7	5	07/07/98	Eckhouse et al.	607	100	09/29/95
	вс	5	7	7	6	4	2	7	07/07/98	Thorpe et al.	424	1.49	06/01/95
	BD	5	7	9	7	8	6	8	08/25/98	Leone	604	21	07/25/96
	BE	5	7	9	8	3	4	9	08/25/98	Levy et al.	514	185	02/17/95
	BF	5	8	0	3	5	7	5	09/08/98	Ansems et al.	362	32	03/15/96
	BG	5	8	0	6	9	5	5	09/15/98	Parkyn, Jr. et al.	362	31	06/07/95
	вн	5	8	1	1	2	4	8	09/22/98	Ditlow et al.	435	7.9	06/07/95
	ВІ	5	8	-2	4	6	5	7	10/20/98	Hill et al.	514	46	03/18/97
	BJ	5	8	2	9	4	4	8	11/03/98	Fisher et al.	128	898	10/30/96
	ВК	5	8	3	5	6	4	8	11/10/98	Narciso, Jr. et al.	385	31	03/07/97
	BL	5	8	4	3	1	4	3	12/01/98	Whitehurst	607	88	08/24/94
	ВМ	5	8	4	9	0	2	7	12/15/98	Gart et al.	607	93	09/04/96
	BN	5	8	5	1	2	2	5	12/22/98	Lawandy	607	88	11/13/96
	во	5	8	5	5	8	6	6	01/05/99	Thorpe et al.	424	1.49	03/02/94
	BP	5	8	6	3	5	3	8	01/26/99	Thorpe et al.	424	136.1	06/01/95
	ВΩ	5	8	8	1	2	0	0	03/09/99	Burt	385	142	03/20/97

	T
EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. SERIAL NO. 09/905,501					
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Chen, James					
STATEMENT	FILING DATE	GROUP				

EXAMINER INITIAL	Ref. Code	DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
	BR	5	8	8	2	7	7	9	03/16/99	Lawandy	428	323	02/06/97
	BS	5	9	0	6	5	7	9	05/25/99	Vander Salm et al.	600	424	08/14/97
	вт	·5	9	0	9	6	7	0	06/01/99	Trader <i>et al.</i>	705	14	01/09/96
	BU	5	9	1	3	8	3	4	06/22/99	Francais	600	591	06/09/98
	BV	5	9	1	3	8	8	4	06/22/99	Trauner <i>et al</i>	607	88	10/31/96
	BW	5	9	1	9	2	1	7	07/06/99	Hughes	607	90	04/15/93
	вх	5	9	2	4	7	8	8	07/20/99	Parkyn, Jr.	362	329	09/23/97
	BY	5	9	2	6	3	2	0	07/20/99	Parkyn, Jr. <i>et al</i> .	359	641	05/29/97
	BZ	5	9	2	9	1	0	5	07/27/99	Sternberg <i>et al.</i>	514	410	06/01/98
	CA	5	9	4	3	3	5	4	08/24/99	Lawandy	372	39	09/16/97
	СВ	5	9	5	2	3	2	9	09/14/99	Cincotta et al.	514	224.5	001/23/9 7
0	СС	5	9	6	1	5	4	3	10/05/99	Waldmann	607	88	11/01/96
	CD	5	9	7	6	1	7	5	11/02/99	Hirano <i>et al.</i>	607	89	06/18/96
	CE	5	9	8	5	3	5	3	11/16/99	Lawton et al.	427	2.13	07/30/97
	CF	5	9	8	9	2	4	5	11/23/99	Prescott	606	14	03/31/97
	CG	5	9	9	0	4	7	9	11/23/99	Weiss et al.	250	307	11/25/97
	СН	6	0	1	3	0	5	3	01/11/00	Bower et al.	604	96	05/17/96
	CI	6	0	1	5	8	9	7	01/18/00	Theodore et al.	540	474	05/13/96
	CJ	<u>,</u> 6	0	2	1	3	4	7	02/01/00	Herbst <i>et al.</i>	607	2	12/04/97
	СК	6	0	5	8	9	3	7	05/09/00	Doiron et al.	128	898	07/18/97
	CL	6	0	7	1	9	4	4	06/06/00	Rodgers et al.	514	408	11/12/97
	СМ	6	1	3	5	6	2	0	10/24/00	Marsh	362	377	04/10/96
	CN	6	1	6	5	4	4	0	12/22/00	Esenaliev	424	1.11	07/09/98

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. SERIAL NO. 09/905,501					
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Chen, James					
STATEMENT	FILING DATE	GROUP				

EXAMINER INITIAL	Ref. Code		C	OCU	MENT	NUME	BER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
	CO.	6	1	8	3	4	4	4	02/06/01	Glines et al.	604	187	05/16/98
	СР	6	2	1	7	8	6	9	04/17/01	Meyer et al.	424	178.1	09/05/97
	CΩ	6	4	.5	4	7	8	9	09/24/02	Chen	607	88	01/15/99

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	Ref. Code		С	OCUN	MENT	NUMB	ER		DATE	COUNTRY	CLASS	SUB CLASS	Trans Yes	slation No
	CR	0	0	2	7	3	6	5	05/18/00	РСТ		4.		
	cs	0	0	2	9	6	1	7	05/25/00	РСТ				•
	СТ	0	1	7	5	6	1	7	10/30/91	EP				
,	CU	2	3	2	3	2	8	4	09/23/98	UK				
	cv	5	3	0	8	4	9	98	07/26/78	JP			Yes	
	cw	5	7	1	8	5	2	20	11/15/92	JP		-	Yes	
	сх	9	2	0	0	1	0	6	01/09/92	PCT			,	
	CY	9	3	0	0	0	0.	5	01/07/93	PCT '				
	CZ	9	3	1	3	7	6	9	07/22/93	PCT		-		
	DA	9	3	2	4	1	2	7	12/09/93	PCT				
	DB	9	4	0	6	4	2	4	03/18/99	PCT				
	DC	9	5	3	2	0	0	1	11/30/95	PCT				
	DD	9	6	0	6	6	4	1	03/07/96	PCT		-		
	DE	9	7	3	1	5	8 -	2	09/04/97	PCT		* .		
	DF	9	7	3	2	8	8	5	09/12/97	PCT				
	DG	9	7	4	0	6	7	9	11/06/97	РСТ				
	DH	9	7	4	6	2	6	2	12/11/97	PCT				
	DI	9	8	2	0	9	3	6	05/22/98	РСТ			<u> </u>	<u> </u>

EXAMINER DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. 25886-0055B SERIAL NO. 09/905,501				
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Chen, James				
STATEMENT	FILING DATE July 13, 2001	GROUP 3762			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	Ref. Code		Ε	OCUN	JENT	NUME	ER		DATE	COUNTRY	CLASS	SUB CLASS	Trans Yes	slation No
	DJ	9	8	3	3	2	5	1	07/30/98	PCT				
	DK	9	8	4	7	5	4	1	10/29/98	PCT				
	DL.	9	8	5	0	3	8	7	11/12/98	PCT				
	DM	9	8	5	2	6	1	0	11/26/98	РСТ				
	DN	9	9	6	7	2	4	8	12/29/99	РСТ				
	DO	9	9	6	7	2	4	9	12/29/99	РСТ	:		5	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

DP	Anonymous (May 1998) "The 1998 Photonics Circle of Excellence Award Winners", <i>Photonics Spectra</i> , pp. 95-96
 DΩ	Anonymous (1997) http://www.lumacare.com/. 2 pages
 DR	Bayer et al., "Raw eggs and cancer therapy", Science Spectra, 12:34-41 (1998)
DS	Beems et al., Photosensitizing properties of bacteriochlorophyllin a and bacteriochlorin a, two derivatives of bacteriochlorophyll a, Photochem. Photobiol. 46(5): 639-643 (1987).
DT	Bellnier et al., Murine pharmacokinetics and antitumor efficacy of the photodynamic sensitizer 2-[1-hexyloxyethyl]-2-devinyl pyropheophorbide-a, J. Photochem. Photobiol. B: -Biol. 20: 55-61 (1993).
DU [*]	Birchler <i>et al.</i> , "Selective Targeting and Photocoagulation of Ocular Angiogenesis Mediated by a Phage-Derived Human Antibody Fragment", <i>Nature Biotechnol.</i> , <u>17</u> :984-988 (1999)
DV	Brower, V., "Tumor AngiogenesisNew Drugs on the Block", <i>Nature Biotechnol.</i> , <u>17</u> :963 968 (1999)
DW	Casalini et al., "Tumor Pretargeting: Role Of Avidin/Streptavidin On Monoclonal Antibody Internalization", J.Nuclear Med., 38(9):1378-1381 (1997)
DX	Cattel et al., "The Role of Conjugation Processes and Linking Agents in the Preparation o Molecular/Particulate Conjugates - a Review", S.T.P. Pharma. Sci., 9(4):307-319 (1999)
DY	Certified English Translation of Japanese Patent Application No. Sho51-159879 (Japanese Kokai [Unexamined Patent] No. 53-84998), "Carcinostatic Method,"

	'
EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. 25886-0055B	SERIAL NO. 09/905,501			
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Chen, James				
STATEMENT	FILING DATE July 13, 2001	GROUP 3762			

•	0	THER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
	DZ	Certified English Translation of Japanese Kokai [Unexamined Patent] No. 57-185220, "Anti-Cancer Drug Having Chlorophyll Derivative Effective Component,"
	EA	Chen, J., "Next Generation Light Delivery System for Multi-Treatment Extended Duration Photodynamic Therapy (MED-PDT)", SPIE-Proceedings Series, 2972:161-167 (1997)
	EB	Dictionary of Cell Biology, Second Edition (Lackie & Dow, eds., 1989), page 17.
	EC	Dillon et al., "In Vitro and In Vivo Protection Against Phototoxic Side Effects of Photodynamic Therapy by Radioprotective Agents WR-2721 and WR-77913", Photochemistry and Photobiology, 48(2):235-238 (1988)
	ED	Dougherty, T.J., "A Brief History of Clinical Photodynamic Therapy Development at Roswell Park Cancer Institute", <i>J. Clin. Laser Med. & Surg.</i> , <u>14(5)</u> :219-221 (1996)
	EE	Dougherty, T.J., Photosensitization of malignant tumors, Seminars in Surgical Oncology 2:24-37 (1986).
	£F	Dougherty et al., Review "Photodynamic Therapy", J. Natl. Cancer Inst., 90(12):889-905 (1998)
	EG	Dougherty et al., Yearly Review "Photodynamic Therapy", Photochem. Photobiol., 58(6):895-900 (1993)
	EH	Edamatsu <i>et al.</i> , "One and Two-Photon Selective Excitation Spectroscopy of CuC1 Quantum Dots", <i>Review</i> , <u>17</u> :15-16 (1998)
*	EI	Fact Sheet: Laser Medical Pac, pp. 1-2 (1998)
	EJ	Freiherr, G., "Advances in Photodynamic Therapy Lure Device Innovators", <i>Medical Device & Diagnostic Industry</i> , http://feedback@devicelink.com , 4 pages
	EK	Gagel, M. P., Photodynamic therapy with porphyrins (1997), available at http://www.dermatology.org/laser/pdt.html.
	EL	Granville <i>et al.</i> , "Photodynamic Treatment with Benzoporphyrin Derivative Monoacid Ring A Produces Protein Tyrosine Phosphorylation Events and DNA Fragmentation in Murine P815 Cells", <i>Photochem. Photobiol.</i> , 67(3):358-362 (1998)
	EM	Haas <i>et al.</i> , "Phototherapy of Bladder Cancer: Dose/Effect Relationships," <i>Journal of Urology</i> , <u>136</u> :525-528 (1986)
	EN	Henderson et al., An in vivo quantitative structure-activity relationship for a congeneric series of pyropheophorbide derivatives as photosensitizers for photodynamic therapy, Cancer Research 57: 4000-4007 (1997).

EXAMINER DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. 25886-0055B	SERIAL NO. 09/905,501			
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Chen, James				
STATEMENT	FILING DATE July 13, 2001	GROUP 3762			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	THER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
EO	Jacka et al., "A Lamp for Cancer Phototherapy", Aust. J. Phys., 36:221-226 (1983)
EP	Jiang <i>et al.</i> , "Enhanced photodynamic killing of target cells by either monoclonal antibody or low density lipoprotein mediated delivery systems", <i>J. Controlled Release</i> , <u>19</u> :41-58 (1992)
EQ	Jiang <i>et al.</i> , "Selective Depletion of a Thymocyte Subset <i>in Vitro</i> with an Immunomodulatory Photosensitizer", <i>Clin. Immunol.</i> , <u>91(2)</u> :178-87 (1999)
ER	Kashtan <i>et al.</i> , "Photodynamic Therapy of Colorectal Cancer Using a New Light Source from In Vitro Studies to a Patient Treatment", <i>Dis. Colon. Rectum.</i> , 39(4):379-383 (1996)
ES	Kessel et al., Photosensitization with bacteriochlorins, Photochem. Photobiol. 58(2) : 200-203 (1993).
ET	Kozyrev <i>et al.</i> , Effect of substituents in OsO ₄ reactions of metallochlorins regioselective synthesis of isobacteriochlorins and bacteriochlorins, Tetrahedron Letters 37(22) : 3781-3784 (1996).
EU	Kreimer-Birnbaum, M., "Modified Porphyrins, Chlorins, Phthalocyanines, and Purpurins: Second-Generation Photosensitizers for Photodynamic Therapy", <i>Seminars in Hematology</i> 26(2):157-173 (1989)
EV	Latham et al., "Biophotonics Applications of High-Power Semiconductor Diode Laser technology", available at: http://www.arflhorizons.com/Briefs/0001/DE9903.html pp.1-3 (1998) accessed on (02/06/03).
EW	Marcus, S.L., "Photodynamic Therapy of Human Cancer", <i>Proceedings of the IEEE</i> , 80(6):869-889 (1992)
EX	Margaron et al., "Photodynamic therapy inhibits cell adhesion without altering integrin expression", Biochimica et Biophysica Acta, 1359:200-210 (1997)
EY	Meerovich et al., "Photosensitizer for PDT based on phosphonate phthalocyanine derivative", Proc. SPIE-INT. Scc. Opt. Eng., 2924:86-90 (1996)
EZ	Merck Manual of Diagnosis and Therapy, 17th edition (Beers & Berkow, eds., 1999), pages 816-817 and 1654-1657.
FA	Mew et al., "Photoimmunotherapy: Treatment of Animal Tumors with Tumor-Specific Monoclonal Antibody-Hematoporphyrin Conjugates", <i>Journal of Immunology</i> , 130(3):1473-1477 (1983)

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. 25886-0055B	SERIAL NO. 09/905,501
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Chen, James	
STATEMENT	FILING DATE July 13, 2001	GROUP 3762

	0	THER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
	FB	Middleton <i>et al.</i> , "Synthetic Biodegradable Polymers as Medical Devices", <i>Medical Plastics & Biomaterials</i> , pp. 30-39 (1998)
	FC	Millson et al., "Ex-Vivo Treatment of Gastric Helicobacter Infection by Photodynamic Therapy", J. of Photochemistry and Photobiology B: Biology, 32:59-65 (1996)
	FD	Nakatani <i>et al.</i> , "Chemistry and biochemistry of Chinese drugs. VII. Cytostatic pheophytins from silkworn excreta, and derived photocytotoxic pheophorbides", <i>Chem. Pharm. Bull.</i> , 29(8):2261-2269 (1981)
	FE	Nemoto <i>et al.</i> , "Inhibition by a new bisphosphonate (YM175) of bone resorption induced by the MBT-2 tumour of mice", <i>Brit. J. Cancer</i> , 67(5):893-897 (1993)
	FF	North et al., "Viral Inactivation in Blood and Red Cell Concentrates with Benzoporphyrin Derivative", Blood Cells 18:129-140 (1992)
	FG	Pandey et al., Comparative in vivo sensitizing efficacy of porphyrin and chlorin dimers joined with ester, ether, carbon-carbon or amide bonds, J. Molecular Recognition 9: 118-122 (1996).
	FH	Pandey et al., "Shedding some light on tumours", Chem. Indust., 1998:739-743 (1998)
	FI	Pittau et al., "An Inexpensive Light Source for Oncologic Photodynamic Therapy", IEEE Eng. Med. Biol., pp. 105-106 (1998)
	FJ	Rimington et al., Preparation and photosensitizing properties of hematoporphyrin ethers, Free Rad. Res. Comms. 7(3-6): 139-142 (1989).
м.	FK	Rungta <i>et al.</i> , Purpurinimides as photosensitizers: effect of the presence and position of the substituents in the <i>in vivo</i> photodynamic efficacy, Bioorg. Medicinal Chem. Letters 10: 1463-1466 (2000).
	FL	Savellano <i>et al.</i> , "Pegylated BPD Verteporfin C225 Anti-EGF Receptor Direct Covalent Linkage Photosensitizer Immunoconjugates", <i>Photochem. Photobiol.</i> , <u>69</u> :38S (1999)
	FM	Schmidt <i>et al.</i> , "Size-dependent Two-Photon Excitation pectroscopy of CdSe Nanocrystals", <i>Physical Review B</i> , 53(19):12629-12632 (1996)
-	FN	Schmidt-Erfurth <i>et al.</i> , "Photodynamic therapy of subfoveal choroidal neovascularization: clinical and angiographic examples", <i>Graefe's Arch. Clin. Exp. Opthamol.</i> , <u>236</u> :365-74 (1998)
	FO	Schmidt-Erfurth <i>et al.</i> , "Photodynamic Therapy of Experimental Choroidal Melanoma Using Lipoprotein-delivered Benzoporphyrin", <i>Opthalmol.</i> , <u>101</u> :89-99 (1994)

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. 25886-0055B	SERIAL NO. 09/905,501
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Chen, James	
STATEMENT	FILING DATE July 13, 2001	GROUP 3762

	0	THER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
*	FP	Schmidt-Erfurth <i>et al.</i> , "In Vivo Uptake of Liposomal Benzoporphyrin Derivative and Photothrombosis in Experimental Corneal Neovascularization", <i>Lasers in Surgery and Medicine</i> , <u>17</u> :178-188 (1995)
	FQ	Sharman <i>et al.</i> , "Novel water-soluble phthalocyanines substituted with phosphonate moieties on the benzo rings", <i>Tetrahedron Lett.</i> , <u>37(33)</u> :5831-5834 (1996)
	FR	Spikes, J.D., Porphyrins and related compounds as photodynamic sensitizers, Annals of the New York Academy of Sciences 244: 496-508 (1975).
	FS	Stedman's Medical Dictionary, 26th Edition, (Williams & Wilkens, 1995), pages 268, 276-280, 726-727, 1165, 1182, and 1571-72.
	FT	Sternberg <i>et al.</i> , "Porphyrin-based Photosensitizers for Use in Photodynamic Therapy", <i>Tetrahedron</i> , <u>54</u> :4151-4202 (1998)
	FU	Su, F., Photodynamic Therapy: A Maturing Medical Technology, OE-Reports, SPIE, February 2000, available at http://www.spie.org/web/oer/february/feb00/phototherapy.html.
	FV	Szeimies <i>et al.</i> , "A Possible New Incoherent Lamp for Photodynamic Treatment of Superficial Skin Lesions", <i>Acta Derm Venereol</i> , 74:117-119 (1994)
	FW	Szeimies <i>et al.</i> , "A New Light Source for PDT of Skin Lesions", http://www.lumacare.com/paper3.htm , 2 pages (after 1993)
	FX	Taber's Cyclopedic Medical Dictionary, 14th edition (C. L. Thomas, ed., 1983), page 63.
	FY	Umemura et al., "Recent Advances in Sonodynamic Approach to Cancer Therapy," Ulrasonics Sonochemistry, 3:S187-S191 (1996)
	FZ	Warwick, R.I., "Infinite Machines' Subsidiary Receives Positive Results in Photodynamic Therapy Tests", <i>BW HealthWire</i> , http://biz.yahoo.com/bw/97/06/18/imci_y000_1.html , 2 pages (1997)
	GA	Whitehurst <i>et al.</i> , "Development of an Alternative Light Source to Lasers for Photodynamic Therapy: 1. Comparative In Vitro dose Response Characteristics", <i>Lasers in Med. Sci.</i> , <u>8</u> :259-267 (1993)
	GB	Wieman <i>et al.</i> , "(418) Photodynamic Therapy (PDT) of Locally Recurrent Breast Cancer (LRBC) with Lutetium Texaphyrin (Lutrin)", <i>American Society of Clinical Oncology</i> , 18:111A (1999)
	GC	Wilder-Smith <i>et al.</i> , "(G1546) Photoeradication of Helicobacter Pylori in Humans: Phase 1 Study", <i>AGA Abstracts Gastroenterology</i> , <u>116(4)</u> :A354 (1999)

EXAMINER DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. 25886-0055B	SERIAL NO. 09/905,501
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	APPLICANT Chen, James	
	FILING DATE July 13, 2001	GROUP 3762

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) GD Woodburn et al., Evaluation of porphyrin characteristics required for photodynamic therapy, Photochem. Photobiol. 55(5): 697-704 (1992). GE Yamamoto, T., "Effect of phytochlorin on transplantable cancer cells", Medicine and Biology, 89(6):433-438, English translation and certificate of translation included, 7 pages (December 10, 1974) GF Yamamoto et al., "Photodynamic effects on the nucleic acids of cancer cells sensitized by sodium phytochlorin", Medicine and Biology, 90(6):397-400, English translation and certificate of translation included, 4 pages (June 10, 1975) GG Yamamoto et al., "Photoradiation therapy, phytochlorin and visible light", Prevention and Detection of Cancer, Part 1, Prevention. Volume 2, Etiology-Prevention Methods, Proceedings of the Third International Symposium on Detection and Prevention of Cancer held April 26, 1976 in New York, N.Y., 1(2):1789-1802 (1978) GH Yamamoto, T., "Suppression of tumors by the photodynamic action of phytochlorin sodium", Medicine and Biology, 90(4):161-164, English translation and certificate of translation included, 4 pages (April 10, 1975) GI Yumita et al., "Sonodynamically Induced Antitumor Effect of Gallium-Porphyrin Complex by focused Ultrasound on Experimental Kidney Tumor", Cancer Letters, 112:79-86

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Title: ENERGY-ACTIVATED TARGETED CANCER THERAPY

(1997)